AM Wealth

EXECUTION-ONLY SERVICES AGREEMENT

(Version applicable for offline signing)

(Effective from 25.08.2026)

This **EXECUTION-ONLY SERVICES AGREEMENT** (the **"Services Agreement"**) is made on , 20 , by and between

(1) , [passport details], [registered at] / [a company registered in and having its Registered Office at] (the **"Client"**); and

(2) **AM Wealth Limited**, a company authorised and regulated by the Abu Dhabi Global Market **("ADGM"**) Financial Services Regulatory Authority ("**FSRA"**) and having its registered address at 804, Al Sila Tower, ADGM Square, Al Maryah Island, Abu Dhabi, UAE (**"AM Wealth**", or **"AMWL"**, or the **"Broker"**), hereinafter collectively referred to as the "Parties" and individually as the "Party".

WHEREAS:

(1) AM Wealth is regulated by the Abu Dhabi Global Market ("ADGM") Financial Services Regulatory Authority ("FSRA") and currently authorized by FSRA to carry out the Services;

(2) The Client categorized by AMW as a [Retail Client]/ [Professional Client]/ [Market Counterparty] wishes to engage AM Wealth to execute Transactions with or for the Client as a principal (including on a matched or non-matched basis) and agent.

(3) Where the Client is categorised as a Retail Client, AM Wealth shall provide the Services subject to additional regulatory protections, disclosures, and appropriateness assessments, in accordance with applicable FSRA Rules and AM Wealth's internal policies and procedures.

NOW, THEREFORE, THE PARTIES AGREE AS FOLLOWS:

I. APPOINTMENT OF THE BROKER

(1) The Client hereby appoints AM Wealth Limited to execute any transactions in investments for the Client with or on behalf of the Client in its own name, but at the expense of the Client (who shall be regarded in that case as an undisclosed principal) and upon the Client's Instructions/ Orders to enter into Transaction in Financial Instruments on an execution-only basis in accordance with the terms and conditions of the Client Agreement (including Terms) as this may be amended or supplemented from time to time. The Client hereby also agrees that in executing Transaction with or for the Client, the Broker may in its absolute discretion deal with the Client as a principal (including on a matched or non-matched basis) or agent. Further details on execution

arrangements, including best execution obligations, execution venues and pricing methodology, are set out in the Order Execution Policy available on the Company's website.

(1A) Retail Client – Execution-Only Acknowledgment and Appropriateness

Where the Client is categorized as a Retail Client:

(a) the Client acknowledges and agrees that the Services are provided on an execution-only basis, meaning that AM Wealth does not provide advice, recommendations, or discretionary management in relation to any Transaction executed under this Agreement;

(b) AM Wealth may assess whether a product or service is appropriate for the Client. Retail Clients may be subject to restrictions in relation to OTC leveraged products, structured products, including derivatives on structured products in accordance with applicable regulations and AM Wealth's internal policies.. AM Wealth reserves the right not to offer certain products or services to Retail Clients where such products are assessed as inappropriate or unsuitable. AM Wealth shall, where required under applicable law and regulation, assess whether a product or service is appropriate for the Client based on the Client's knowledge and experience;

(c) where AM Wealth determines that a product or service is not appropriate, or where the Client has not provided sufficient information to enable such assessment, AM Wealth may:

(i) provide a warning to the Client; and/or

(ii) decline to execute the Transaction;

(d) the Client acknowledges that, where permitted by applicable regulation, Transactions may be executed at the Client's initiative without an appropriateness assessment, in which case the Client will not benefit from the protections of such assessment;

(e) the Client is solely responsible for assessing the merits and risks of any Transaction and for ensuring that any Transaction is suitable for their financial situation, objectives, and risk tolerance.

(2) Specific investment parameters, if any – financial instruments, transactions, etc. should be sent to AM Wealth Limited from verified email or any other verified means of digital communication as per law and if agreed and accepted by AM Wealth Limited.

(3) The Terms and all other documents constituting the Client Agreement, as amended from time to time, set out the terms upon which the Broker shall deal with the Client in respect of Transactions. By entering into this Services Agreement, the Client accepts all the terms and conditions of the Client Agreement and consents to be bound by the Client Agreement. The Client hereby also agrees that the Terms, the Fees and Commissions Schedule and any other document disclosed in the "Legal Documents" section of the Website forming integral parts of the Client Agreement may be amended (adjusted) by the Broker at its absolute discretion in accordance with the procedure set forth in clause 2 of the Terms.

II. ACCEPTANCE OF APPOINTMENT

The Broker hereby accepts its appointment on the terms and conditions of the Client Agreement and agrees to provide the Client with the Services including execution-only Dealing in Investments and Providing Custody using external financial institutions/custodians.

III. COMPENSATION TO THE BROKER, EXPENSES AND LIABILITY

In consideration for its services under the Client Agreement, AM Wealth shall be paid fees at the rate and in the manner set forth in AMW Fees and Commissions Schedule published on the Website and subject to changes at AMW sole discretion.

The Parties hereby agree that the Fees applicable to the Services rendered hereunder shall be those specified in Fees and Commissions Schedule.

IV. NOTICES

Unless otherwise specified herein, all instructions and notices under this Agreement shall be given or sent to the Client, to e-mail address verified during onboarding or phone number verified during onboarding by means of digital communication as per law and if agreed and accepted by AM Wealth Limited. The only acceptable way for sending Account and Bank Details of the Client and for sending termination notice is email.

The Client is responsible for alerting AM Wealth Limited to any change in the email address and phone number specified herein.

Where the Client is a Retail Client, the Client acknowledges that AM Wealth may provide disclosures, warnings, confirmations and other communications in a durable medium, including via email or other agreed electronic means, in accordance with applicable regulatory requirements.

V. MISCELLANEOUS

(1) This Services Agreement shall form part of the Client Agreement, and be subject to the Terms, including, but not limited to, Clause 2 (Commencement, Validity and Amendment), Clause 4 (Powers and Obligations of AM Wealth Limited, Execution of Instructions/Orders), Clause 7 (Reporting (Confirmation Notes and Periodic Reports)), Clause 11 (Termination) and Clause 13 thereof (Applicable Law and Dispute Resolution). Unless redefined herein, capitalised terms used in this Services Agreement shall have the meanings given to them in the Terms.

(2) This Services Agreement together with the Terms, Fees and Commissions Schedule, any other document disclosed in the "Policies and Regulation" section of the Website and any other investment services agreement between the Parties, as these may be amended or supplemented from time to time, shall collectively constitute the entire Client agreement and understanding of the parties with respect to the subject matter hereof.

(3) This Services Agreement is executed in two counterparts – one for each Party, both counterparts have equal legal force and shall together constitute one and the same instrument.

(4) No amendment, modification or waiver in respect of this Service Agreement will be effective unless in writing and executed by each of the Parties.

(5) Account and Bank Details of the Client are incorporated by reference hereto and shall constitute an integral part of this Services Agreement. The Client obliges to send this information by email.

(6) Retail Client Protections

Where the Client is categorised as a Retail Client, in the event of any inconsistency between this Services Agreement and any applicable FSRA Rules, the relevant mandatory regulatory requirements shall prevail.

SIGNATURE PAGE

IN WITNESS WHEREOF the Parties hereto have executed this Services Agreement as of the date first above written.

For and on behalf of the Broker:
AM WEALTH LIMITED

By: ________________________

Name: __________
Title: Director

For and on behalf of Client:

By: ________________________

Name:

Title:

Template 1. Account details (initial composition of the portfolio).

The initial cash amount transferred to the Account equals to:

USD

EUR

RUB

The initial securities transfer: *please specify per ISIN*

Security ISIN	Quantity	Currency

Template 2. Bank Details of the Client (for cash transfers).

For cash transfer-in to your account with AM WEALTH LIMITED – please use banking details of AM Wealth limited and specify your account number with AM Wealth Limited in purpose of payment/ payment additional information / remittance information when instructing your ordering institution / ordering bank as – **FFC**: your account number with AMWL.

For cash withdrawal from your account with AM WEALTH LIMITED - please specify your Bank Details:

Currency (Mandatory)	
Intermediary/Correspondent Bank	
Intermediary/Correspondent Bank SWIFT/BIC	
Account of Beneficiary Bank with Intermediary Bank	
Beneficiary Bank (Mandatory)	
Beneficiary Bank SWIFT/BIC	
Beneficiary account No/IBAN (Mandatory)	
Beneficiary name (Mandatory)	
Final Beneficiary (Mandatory, if equals to Beneficiary name, please duplicate it in this field. If it does not – then mention final beneficiary name and any additional payment details)	

AM Wealth

Template 3. Securities Transfer-in Order.

Order date: DD/MM/YYYY

Order number:

AM Wealth Limited
804, Al Sila Tower, ADGM Square
Al Maryah Island, Abu Dhabi, UAE
Email: info@amwealth.ae

Regulated by the ADGM Financial Services Regulatory Authority

Receive free of payment – AM Wealth Limited to receive from _________

Ordering Client: ___________________________

Please accept the following NCBO (Non-change of Beneficial Owner) Securities Transfer Order for transfer of funds to my account with AM Wealth Limited.

Account to: __________________

Trade date: to be agreed

Value date: to be agreed

Securities details:

Security ISIN	Security Name / Issuer name	Quantity	Currency	Place of Keeping / Settlement (PSET)

Please use below **settlement instructions** for the above specified securities breakdown according to its Place of keeping / Market:

Country / Market	Clearing Agent (Place of Keeping / PSET)	Market Account	Custodian

I hereby confirm that the above-mentioned information regarding settlement instructions is correct for securities to be transferred and valid as of the date of this securities transfer order.

Template 4. Securities Transfer-out Order.

Order date: DD/MM/YYYY

Order number:

AM Wealth Limited
804, Al Sila Tower, ADGM Square
Al Maryah Island, Abu Dhabi, UAE
Email: info@amwealth.ae

Regulated by the ADGM Financial Services Regulatory Authority

Delivery free of payment – AM Wealth Limited to deliver to _________

Ordering Client: _________________________

Please accept the following NCBO (Non-change of Beneficial Owner) Securities Transfer Order for transfer of funds from my account with AM Wealth Limited.

Account from: _________________

Trade date: to be agreed

Value date: to be agreed

Securities details:

Security ISIN	Security Name / Issuer name	Quantity	Currency	Place of Keeping / Settlement (PSET)

Please use below **settlement instructions** for the above specified securities breakdown according to its Place of keeping / Market:

Country / Market	Clearing Agent (Place of Keeping / PSET)	Market Account	Custodian

I hereby confirm that the above-mentioned information regarding settlement instructions is correct for securities to be transferred and valid as of the date of this securities transfer order.

Template 5. Cash-Transfer Order – Withdrawal.

Order date: DD/MM/YYYY

Order number:

AM Wealth Limited
804, Al Sila Tower, ADGM Square
Al Maryah Island, Abu Dhabi, UAE
Email: info@amwealth.ae
Regulated by the ADGM Financial Services Regulatory Authority

Ordering Client: ___________________

Please accept the following Cash Transfer Order for transfer of funds from my account with AMWL:

Account to be debited: _______________

Amount: _______________________________

Currency: ______________________________

Please process the payment as per below **payment details**:

Intermediary Bank	
Intermediary Bank SWIFT/BIC	
Account of Beneficiary Bank with Intermediary Bank	
Beneficiary Bank (Mandatory)	
Beneficiary Bank SWIFT/BIC	
Beneficiary account (Mandatory)	
Beneficiary name (Mandatory)	
Final Beneficiary (Mandatory, if equals to Beneficiary name, please duplicate it in this field)	

I hereby confirm that the above-mentioned information regarding payment details is correct for the currency of remittance to be instructed and valid as of the date of this Cash Transfer Order.

I hereby confirm that the final beneficiary of funds is actual, and no doubt equals to the ordering Client name mentioned in this order.