

# INVESTMENT ADVISORY/ARRANGING DEALS IN INVESTMENTS SERVICES AGREEMENT

(Version applicable for online signing for Individuals)

(Effective from 24.01.2025)

This INVESTMENT ADVISORY/ARRANGING DEALS IN INVESTMENTS SERVICES AGREEMENT (the "Services Agreement") is made on the date of the Client's signature.

WHEREAS:

The Client categorized by AM Wealth Limited as a [Professional Client] / [Market Counterparty] wishes to engage AM Wealth Limited to advise on Investments or Credit and/or arrange Deals in Investments for the Client.

NOW, THEREFORE, THE CLIENT AGREE AS FOLLOWS:

## I. APPOINTMENT OF THE ADVISOR

(1) The Client hereby appoints AM Wealth Limited to carry out the investment advisory services involving providing the Client with advice/recommendations on the merits of his/her/its buying, selling, subscribing for or underwriting particular individual investments in Financial Instruments or entering into a credit facility (suggested by the Client for the Advisor's assessment or recommended by the Advisor for the Client to consider) or exercising any right conferred by such an investment to buy, sell, subscribe for or underwrite such an investment and/or to make arrangements, upon the Client's request, with a view to the Client (whether as principal or agent) buying or selling a specified Investment or subscribing for or underwriting a specified Investment, in accordance with the terms and conditions of the Client Agreement (including Terms) as this may be amended or supplemented from time to time.

(2) Information and documents necessary for performance by AM Wealth Limited of its obligations under the Client Agreement should be sent to AM Wealth Limited from verified email or any other verified means of digital communication as per law and if agreed and accepted by AM Wealth Limited.

(3) The Terms and all other documents constituting the Client Agreement, as amended from time to time, set out the terms upon which the Advisor shall deal with the Client in respect of Services. By entering into this Services Agreement, the Client accepts all the terms and conditions of the Client Agreement and consents to be bound by the Client Agreement. The Client hereby also agrees that the Terms, the Fees and Commissions Schedule and any other document disclosed in the "Legal Documents" section of the Website forming integral parts of the Client Agreement may be amended (adjusted) by the Advisor at its absolute discretion in accordance with the procedure set forth in clause 2 of the Terms.

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## II. NOTICES

Unless otherwise specified herein, all notices under this Agreement shall be given or sent to the Client, to e-mail address verified during onboarding or phone number verified during onboarding by means of digital communication as per law and if agreed and accepted by AM Wealth Limited. The only acceptable way for sending termination notice is email.

The Client is responsible for alerting AM Wealth Limited to any change in the email address and phone number specified herein.

## III. MISCELLANEOUS

(1) This Services Agreement shall form part of the Client Agreement, and be subject to the Terms, including, but not limited to, Clause 2 (Commencement, Validity and Amendment), Clause 3 (Regulatory Status and Scope of Services), Clause 11 (Termination) and Clause 13 thereof (Applicable Law and Dispute Resolution). Unless redefined herein, capitalised terms used in this Services Agreement shall have the meanings given to them in the Terms.

(2) This Services Agreement together with the Terms, Fees and Commissions Schedule, any other document disclosed in the "Policies and Regulation" section of the Website and any other investment services agreement between the Parties, as these may be amended or supplemented from time to time, shall collectively constitute the entire Client agreement and understanding of the parties with respect to the subject matter hereof.

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(3) No amendment, modification or waiver in respect of this Service Agreement will be effective unless in writing and executed by each of the Parties.

By entering my Full Name, I confirm that I agree with all declarations below and my Full name can be used as my signature:

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I declare that the information in this application is true and complete as at the date of my signature.

I declare that I have read the terms and conditions as set out in the legal documents of AM Wealth Limited and I agree to sign INVESTMENT ADVISORY/ARRANGING DEALS IN INVESTMENTS SERVICES AGREEMENT.