

## **EXECUTION-ONLY SERVICES AGREEMENT**

**(Version applicable for online signing for Individuals)**

**(Effective from 17.10.2023)**

This EXECUTION-ONLY SERVICES AGREEMENT (the "Services Agreement") is made on the date of the Client's signature.

### WHEREAS:

The Client categorized by AM Wealth Limited as a [Professional Client] / [Market Counterparty] wishes to engage AM Wealth Limited to execute Transactions with or for the Client as a matched principal and agent.

### NOW, THEREFORE, THE CLIENT AGREE AS FOLLOWS:

#### I. APPOINTMENT OF THE BROKER

(1) The Customer hereby appoints AM Wealth Limited to execute any transactions in investments for the Customer with or on behalf of the Customer in its own name, but at the expense of the Customer (who shall be regarded in that case as an undisclosed principal) and upon the Customer's Instructions/ Orders to enter into Transaction in Financial Instruments on an execution-only basis in accordance with the terms and conditions of the Client Agreement (including Terms) as this may be amended or supplemented from time to time. The Customer hereby also agrees that in executing Transaction with or for the Customer, the Broker may in its absolute discretion deal with the Customer as a principal (a matched principal) or agent.

(2) Specific investment parameters, if any – financial instruments, transactions, etc. should be sent to AM Wealth Limited from verified email or any other verified means of digital communication as per law and if agreed and accepted by AM Wealth Limited.

(3) The Terms and all other documents constituting the Client Agreement, as amended from time to time, set out the terms upon which the Broker shall deal with the Client in respect of Transactions. By entering into this Services Agreement, the Client accepts all the terms and conditions of the Client Agreement and consents to be bound by the Client Agreement. The Customer hereby also agrees that the Terms, the Fees and Commissions Schedule and any other document disclosed in the "Legal Documents" section of the Website forming integral parts of the Client Agreement may be amended (adjusted) by the Broker at its absolute discretion in accordance with the procedure set forth in clause 2 of the Terms.

#### II. NOTICES

Unless otherwise specified herein, all instructions and notices under this Agreement shall be given or sent to the Customer, to e-mail address verified during onboarding or phone number verified during onboarding by means of digital communication as per law and if agreed and accepted by AM Wealth Limited. The only acceptable way for sending Account and Bank Details of the Customer and for sending termination notice is email.

The Customer is responsible for alerting AM Wealth Limited to any change in the email address and phone number specified herein.

### III. MISCELLANEOUS

(1) This Services Agreement shall form part of the Client Agreement, and be subject to the Terms, including, but not limited to, Clause 2 (Commencement, Validity and Amendment), Clause 4 (Powers and Obligations of AM Wealth Limited, Execution of Instructions/Orders), Clause 7 (Reporting (Confirmation Notes and Periodic Reports)), Clause 11 (Termination) and Clause 13 thereof (Applicable Law and Dispute Resolution). Unless redefined herein, capitalised terms used in this Services Agreement shall have the meanings given to them in the Terms.

(2) This Services Agreement together with the Terms, Fees and Commissions Schedule, any other document disclosed in the "Policies and Regulation" section of the Website and any other investment services agreement between the Parties, as these may be amended or supplemented from time to time, shall collectively constitute the entire Client agreement and understanding of the parties with respect to the subject matter hereof.

(3) No amendment, modification or waiver in respect of this Service Agreement will be effective unless in writing and executed by each of the Parties.

(4) Account and Bank Details of the Customer are incorporated by reference hereto and shall constitute an integral part of this Services Agreement. The Customer obliges to send this information by email.

By entering my Full Name, I confirm that I agree with all declarations below and my Full name can be used as my signature:

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I declare that the information in this application is true and complete as at the date of my signature.

I declare that I have read the terms and conditions as set out in the legal documents of AM Wealth Limited and I agree to sign EXECUTION-ONLY SERVICES AGREEMENT.

**Template 1. Account details.**

The initial amount transferred to the Account equals to:

USD

EUR

RUB

The initial composition of the Account: money.

Securities transfer: *please specify per ISIN*

| Security ISIN | Quantity | Currency |
|---------------|----------|----------|
|---------------|----------|----------|

## Template 2. Bank Details of the Customer

### Template for cash transfer:

For cash transfer to your account with AM WEALTH LIMITED – please use bank details of AM Wealth limited and specify your account number with AM Wealth Limited in purpose of payment/ payment additional information when instructing your ordering bank.

For cash withdrawal from your account with AM WEALTH LIMITED - please specify the following information:

|  |  |
|--|--|
| Your account number with AM WEALTH LIMITED to be debited (Mandatory)   |  |
| Amount (Mandatory)   |  |
| Currency (Mandatory)   |  |
| Intermediary/Correspondent Bank  |  |
| Intermediary/Correspondent Bank SWIFT/BIC  |  |
| Account of Beneficiary Bank with Intermediary Bank   |  |
| Beneficiary Bank (Mandatory)   |  |
| Beneficiary Bank SWIFT/BIC   |  |
| Beneficiary account No/IBAN (Mandatory)  |  |
| Beneficiary name (Mandatory)   |  |
| Final Beneficiary (Mandatory, if equals to Beneficiary name, please duplicate it in this field. If it does not – then mention final beneficiary name and any additional payment details) |  |

### Template for securities transfer:

Please specify all information mentioned below when ordering securities transfer.

|   |  |
|---|--|
| Your account number with AM WEALTH LIMITED (to be credited or debited)  |  |
| Trade Date (TD) (As agreed, but cannot be earlier then securities transfer request or equal to Market Holidays) |  |
| Value Date (VD) (As agreed, default TD+2 – does not include Market Holidays)                                    |  |
| Security Type (Equity / ADR / GDR / Bond / ETF, etc.)   |  |

|  |  |
|--|--|
| Security Name / Issuer name            |  |
| Security ISIN (Mandatory)              |  |
| Quantity (Mandatory)                   |  |
| Currency (Mandatory)                   |  |
| Place of Settlement (Euroclear or DTC) |  |

Please provide the bank details of your custodian (for this purpose you can use our standard template for securities settlement with all needed information):

|   |  |
|---|--|
| Custodian (Please mark here your custodian agent with BIC code if available)                          |  |
| Market account / Safe account (Please mark here market account of your custodian with clearing agent) |  |
| Clearing Agent (Please mark here clearing agent with BIC code if available)                           |  |
| Country / Market  |  |

For EUROCLEAR as Place of Settlement, where Euroclear Bank SA/NV is a clearing agent, the following field is mandatory:

- Market Account / Safe Account (market account of your custodian with Euroclear)

For DTC as Place of Settlement, where DTC is a clearing agent, please provide:

- DTC account / Participant ID
- Safe account of your custodian with DTC participant if your custodian is not a DTC participant, otherwise your own safe account.

If requested by your custodian, Trade Price and Net Settlement Amount should be empty or equal zero when instructing securities position transfer to our custody / from our custody for Free of Payment instruction.

For UK securities, please mention if there is a beneficiary change takes place as: CBO (change of beneficial ownership) or NCBO (non-change of beneficial ownership).

Please note that securities PSET (Place of Settlement) should be equal to your custodian SSIs (Standard Settlement Instructions).