

## CONSENT TO THE COLLECTING AND PROCESSING OF PERSONAL DATA

(Effective from 16.10.2023)

### GENERAL DISCLAIMER

AM Wealth Limited is formed and registered under the Laws of the Abu Dhabi Global Market ("ADGM") under Registered Number 13006, Financial Services Permission (FSP) Number 220135, having its registered offices at 2467, Al Sila Tower, Abu Dhabi Global Market Square, Al Maryah Island, Abu Dhabi, United Arab Emirates (hereinafter referred to as "AMWL" or "Company" or the "Data Controller").

AM Wealth Limited is authorized and regulated by the Financial Services Regulatory Authority ("FSRA") and other relevant laws, rules and regulations of ADGM, whose authorization allows the Company to provide a range of financial services under the following Regulated Activities: Dealing in Investment as Agent, Dealing in Investments as Principal (only as Matched Principal) and Providing Custody.

The proposed financial services and/or financial products, or communicated information are only available to Professional Client and/or Market Counterparty, and should not be distributed to Retail Clients or potential Retail Clients (as defined under the FSRA Conduct of Business Rulebook).

The Consent to the Collecting and Processing of Personal Data must be read in conjunction with constituted part of the AM Wealth Limited's Data Protection and Privacy Policy.

By sending this form and clicking the option "I AGREE", I, as the Data Subject, hereby consent to the processing of my below given Personal Data which Personal Data I hereby voluntarily provide to the Company by the method specified below in this consent. I acknowledge that the Personal Data which relate to my person represent the Personal Data within the meaning of ADGM Data Protection Regulations 2021 ("ADGM Regulations") and the Regulation 2016/679 of the European Parliament and the Council (EU) of 27 April 2016 on the protection of natural persons with regard to the processing of Personal Data and on the free movement on such data and repealing Directive 95/46/EC ("GDPR") as applicable and amended from time to time. At the same time I declare that I am at least 18 years old and if not than I confirm that such consent has been expressed or given by the person who has the parental responsibility for me.

### PERSONAL DATA PROCESSING

My Personal Data, which I voluntarily provide to the Company, are the following: Name, Surname, Date of Birth, Address, Email Address, Telephone No., Identification No., Tax Identification No., Login Name and any other Personal Data, which are requested by AM Wealth for the purposes of providing services.

I give my consent to the processing of the above Personal Data for the following purposes:

- a) Identification purposes, prevention of fraud, money laundering and management of the account when the processing of Personal Data is necessary in order to fulfill these purposes.

- b) The legal base for the processing is based on the ADGM Regulations read with GDPR where applicable.
- c) The Company shall process Personal Data, which shall be adequate, relevant and limited to the extent necessary for the purpose of processing.
- d) Besides the Company the above Personal Data shall be collected and processed also by the following subjects:
- Recipients of Personal Data: the Company shall be entitled to transfer my Personal Data in particular to the following recipients and categories of recipients: the Data Controller's suppliers, the Data Controller's employees, providers of marketing and advertising services, financial institutions, state authorities within the scope of fulfilling the statutory obligations prescribed by relevant legal regulations; and other parties in other contractual relationships with the Data Controller.
  - The Data Controller shall be entitled to provide my Personal Data also to relevant recipients/categories of recipients with domiciles outside the ADGM as well as EU and the EEA. I acknowledge that in the case of transmission of Personal Data to third countries the Data Controller shall have sufficient control mechanisms for their protection, including the analysis relevant to the protection of such third country and/or, as the case may be, the conclusion of a standard contractual clause on protection of Personal Data approved by ADGM and European Commission and the local laws.
- e) I acknowledge that in case of transfer of my Personal Data to a third party domiciled outside the ADGM, EU and the EEA, the Data Controller shall proceed as per applicable laws.
- f) The Data Controller shall be entitled to process the above Personal Data for a period which is necessary for the purpose and further periods as may be allowed by the ADGM starting from the date of giving my consent.

## **DATA SUBJECT'S RIGHTS**

I acknowledge that as the Data Subject I have the right to demand from the Data Controller the access to my data, the correction and/or deletion of data and as the case may be any limitation of processing) as well as the right to object against the carried out processing of my Personal Data. According to the provisions of ADGM and applicable GDPR, as the Data Subject, I have the right to the portability of data relating to my person, which I have provided to the Data Controller.

As the Data Subject, I can contact the Data Controller in relation to the processing of my Personal Data at the email address: [info@amwealth.ae](mailto:info@amwealth.ae).

If I, as the Data Subject, am of the opinion that my Personal Data are processed in contradiction with legal regulations, I have the right to request remedial action from the Data Controller. If my request is found reasonable, the Data Controller shall immediately remedy the defective status. This shall not prejudice my right, as the Data Subject, to file a complaint directly with the Commissioner of Data Protection at ADGM for Personal Data Protection. On failure to do so, I shall also retain the right to withdraw my consent.

I hereby give my consent to the processing of my Personal Data by the Data Controller for the purposes and for the period of time specified above. I acknowledge that the Data Controller shall archive this consent for the purpose of fulfilling the Data Controller's statutory obligation to be able to demonstrate that my consent to the processing has been given