



ABU DHABI GLOBAL MARKET
سوق أبوظبي العالمي

Financial Services Permission to Conduct Regulated Activities

This is to certify that

AM Wealth Limited

(Registered Number 13006)

Company Name

is an

Authorised Person

pursuant to the Financial Services and Markets Regulations 2015

It is authorised, subject to the attached requirements, to conduct the Regulated Activities of:

- Dealing in Investments as Principal
- Dealing in Investments as Agent
- Providing Custody

This Financial Services Permission is granted on

30 August 2023

by the Abu Dhabi Global Market (ADGM) Financial Services Regulatory Authority (FSRA)

220135

Financial Services Permission Number

Emmanuel Givanakis
Chief Executive Officer
Financial Services Regulatory Authority

FINANCIAL SERVICES REGULATORY AUTHORITY
سلطة تنظيم الخدمات المالية



Requirements pursuant to Section 35 of the Financial Services and Markets Regulations 2015

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- a) AM Wealth Limited is not permitted to deal with Retail Clients as defined under the Conduct of Business Rulebook (COBS).

Emmanuel Givanakis
Chief Executive Officer
Financial Services Regulatory Authority

Dated the 30th of August 2023